

09-20-00

94 Rec'd DCT/PTO 1 SEP 2000 P.C.T. \$

PCT/PTO-1390 (Modified)
REV 11-98

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

WINTE 045244

**TRANSMITTAL LETTER TO THE UNITED STATES
SEP 18 2000 DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/646740PRIORITY DATE CLAIMED
19 March 1998INTERNATIONAL APPLICATION NO.
PCT/EP99/01860INTERNATIONAL FILING DATE
19 March 1999**NAME OF INVENTION****UTILIZATION OF EXTRACTS FROM IRIS PLANTS, CIMICIFUGA RACEMOSA AND TECTORIGENIN AS AN ESTROGEN-LIKE ORGAN-SELECTIVE MEDICAMENT WITHOUT UTEROTROPIC EFFECTS****APPLICANT(S) FOR DO/EO/US****Wolfgang Wuttke, Hubertus Jarry, Volker Christoffel, Barbara Spengler and Michael Popp**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. A copy of the International Search Report (PCT/ISA/210).
8. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
9. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

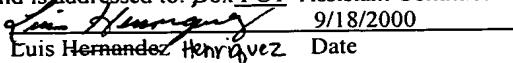
**Items 13 to 20 below concern document(s) or information included:**

13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. A **FIRST** preliminary amendment.
16. A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. A substitute specification.
18. A change of power of attorney and/or address letter.
19. Certificate of Mailing by Express Mail
20. Other items or information:

**Assignment Cover Sheet;
Certificate Under 37 CFR 3.37(b) Establishing Right of Assignee to Prosecute;
Notice of Change of Attorney Address; and
return postcard.**

Certificate of Mailing by Express MailExpress Mail Mailing Label Number EL243343944US Date of Deposit Sept. 18, 2000

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box PCT Assistant Commissioner for Patents Washington, D.C. 20231.


 9/18/2000
 Luis Hernandez Henriquez Date

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.492(e))

09/646740

INTERNATIONAL APPLICATION NO.

PCT/EP99/01860

ATTORNEY'S DOCKET NUMBER

WINTE 045244

21. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO	\$970.00
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	\$840.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$690.00
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)	\$670.00
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)	\$96.00

CALCULATIONS PTO USE ONLY**ENTER APPROPRIATE BASIC FEE AMOUNT =****\$840.00**Surcharge of **\$130.00** for furnishing the oath or declaration later than
months from the earliest claimed priority date (37 CFR 1.492 (e)). 20 30**\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	16 - 20 =	0	x \$18.00	\$0.00
Independent claims	4 - 3 =	1	x \$78.00	\$78.00
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	\$0.00

TOTAL OF ABOVE CALCULATIONS =**\$918.00**Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement
must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). **\$0.00****SUBTOTAL =****\$918.00**Processing fee of **\$130.00** for furnishing the English translation later than
months from the earliest claimed priority date (37 CFR 1.492 (f)). 20 30 +**\$0.00****TOTAL NATIONAL FEE =****\$918.00**Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). **\$40.00****TOTAL FEES ENCLOSED =****\$958.00**Amount to be: **refunded** \$

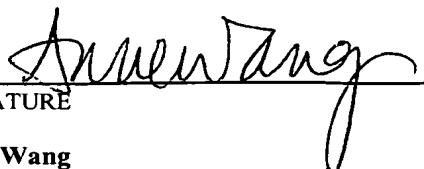
charged \$

 A check in the amount of **\$958.00** to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of to cover the above fees.
A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment
to Deposit Account No. **16-2460** A duplicate copy of this sheet is enclosed.**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Anne Wang, Esq.
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444 S. Flower Street, Suite 1900
Los Angeles, CA 90071

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SIGNATURE**Anne Wang****NAME****36,045****REGISTRATION NUMBER****September 18, 2000****DATE**

09/646740
430 Rec'd PCT/PTO 18 SEP 2000

WINTE 045244

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the application of:)
Wolfgang Wuttke, et al.)
Serial No.:)
Filed:) Examiner:
For: UTILIZATION OF) Group Art Unit:
EXTRACTS FROM IRIS)
PLANTS, CIMICIFUGA)
RACEMOSA AND)
TECTORIGENIN AS AN)
ESTROGEN-LIKE ORGAN-)
SELECTIVE MEDICAMENT)
WITHOUT UTEROTROPIC)
EFFECTS)
Certificate of Mailing by Express Mail
Express Mail Mailing Label Number
EL 243343944US
Date of Deposit 9/18/2000
I hereby certify that this paper or fee is being deposited
with the U.S. Postal Service "Express Mail Post Office
To Addressee" service under 37 CFR 1.10 on the date
indicated above and is addressed to: Box PCT Assistant
Commissioner for Patents
Washington, D.C. 20231
Luis Hernandez
Signature Date
Luis Hernandez
henriquez
9/18/2000

NOTICE OF CHANGE OF ATTORNEY ADDRESS

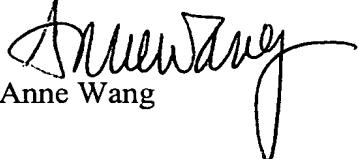
Box POST REG
Assistant Commissioner for Trademarks
2900 Crystal Drive
Arlington, VA 22202-3513

Sir:

Please be advised that the address of the attorneys identified in the Power of Attorney has been changed to the following:

PRETTY & SCHROEDER, P.C.
444 S. Flower Street, Suite 1900
Los Angeles, CA 90071
Tel: (213) 622-7700
Fax: (213) 489-4210
Email: ps@ps-iplaw.com

Respectfully submitted,
PRETTY & SCHROEDER


Anne Wang

Dated: September 18, 2000

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